

IAP6 Rec'd PCT/PTO 23 FEB 2007

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: HOFFMAN, A. - 3 PCT

PCT NO.: PCT/EP2005/003049 PCT FILED: MARCH 22, 2005

SERIAL NO: 10/593,863

TITLE: IDENTIFICATION, VERIFICATION, AND RECOGNITION  
METHOD AND SYSTEM

INFORMATION DISCLOSURE STATEMENT

**MAIL STOP PCT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

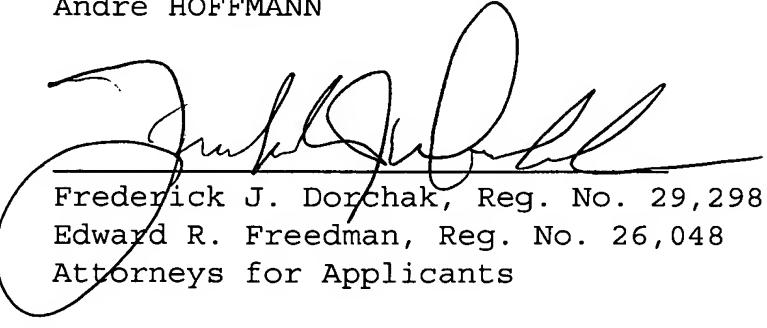
Dear Sir:

Applicant wishes to bring to the attention of the Patent Examiner the references listed on the enclosed Form PTO-1449 and attached thereto. These references were cited in the International Search Report, copy enclosed, or in the Search Report in priority application DE 10 2004 039 937.9, or they were discussed in the Specification on page 1. *United States Patent No. 5,291,560 is the English-language counterpart of German Patent No. DE 692 32 314 T2; United States Patent No. 5,163,094 is the English-language counterpart of German Patent No. DE 692*

32 024 T2; and *United States Patent No. 5,940,526* is the English-language counterpart of *European Patent No. EP 0 981 801*.

Because this Information Disclosure Statement (IDS) is being filed before the first Office Action, it is believed that no fee is due. However, the Commissioner is hereby authorized to charge Deposit Account No. 03-2468 for any additional fees or credit any overpayment in connection with this IDS. It is respectfully requested that the foregoing IDS be incorporated into the official file of the present patent application.

Respectfully submitted,  
André HOFFMANN

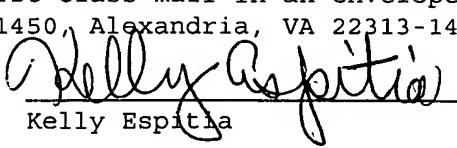


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Enclosures: PTO-form 1449 w/ copies of thirteen (13) references  
Copy of International Search Report

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: COMMISSIONER OF PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on February 21, 2007.



Kelly Espitia

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. : <b>HOFFMANN - 3 PCT</b>	SERIAL NO. <b>10/593,863</b>
LIST OF REFERENCES CITED BY APPLICANT  (Use several sheets if necessary)		APPLICANT : <b>André HOFFMANN</b>			
		FILING DATE:		GROUP:	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,208,795	06/1980	Mühlemann et al. (ISR)			
	AB	4,935,635	06/1990	O'Harra (ISR)			
	AC	5,163,094	11/1992	Prokoski et al. (=DE 692 32 024 T)			
	AD	5,291,560	05/1994	Daugman (=DE 692 32 314 T) (search report-priority applic. DE 10 2004 039 937.9)			
	AE	5,940,526	08/1999	Setlak et al. (Spec. P. 1) (=EP 0 981 801)			
	AF	6,028,960	02/2000	Graf et al. (ISR)			
	AG	2002/0186818 A1	12/2002	Arnaud et al. (ISR)			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AH	DE 25 50 445 A1	05/1977	Germany (ISR)				
	AI	DE 101 13 929 A1	09/2002	Germany (With English Abstract) (search report-priority applic. DE 10 2004 039 937.9)				
	AJ	DE 196 10 066 C1	09/1997	German (Spec., p. 1) (With English Abstract)				
	AK	DE 692 32 024 T2	04/2002	Germany (= US 5,163,094) (search report-priority applic. DE 10 2004 039 937.9)				
	AL	DE 692 32 314 T2	06/2002	Germany (Spec. P. 1) (= US 5,291,560)				

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		FILING DATE:	GROUP:

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	EP 0 981 801	09/2002	Europe (Spec. P. 1) (=US 5,940,526)				
	AN	EP 1 434 164 A2	06/2004	Europe (ISR)				
	AO	GB 2 308 712 A	07/1997	United Kingdom (search report-priority applic. DE 10 2004 039 937.9)				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AP		International Search Report						
AQ		Pretty, A, Sweet, D, "A Look at Forensic Dentistry - Part 1: The Role of Teeth in the Determination of Human Identity", <u>British Dental Journal</u> , April 14, 2001, Vol. 190, No. 7, pages 359-366 & 360-362 (fig. 3b), XP009049027. (ISR)						
AR		Medioni, G., et al., "Face Modeling and Recognition in 3-D", <u>Analysis and Modeling of Faces and Gestures</u> , 2003, AMFG 2003. IEEE International Workshop, October 17, 2003, Piscataway, NJ, USA, pages 232-233, XP010664369. (ISR)						
	AS	Chin-Seng, Chua, et al. "3D human Face Recognition Using Point Signature", <u>Automatic Face and Gesture Recognition</u> , 2000. Proceedings. Fourth IEEE International Conference in Grenoble, France, March 28, 2000, Los Alamitos, CA, USA, pages 233-238, XP010378265. (ISR)						
	AT	Takatsuka, M. et al., "Hierarchical Neural Networks for Learning Three-dimensional Objects from Range Images", <u>Journal of Electronic Imaging</u> , SPIE + IS&T, January 1, 1998, US, vol. 7, no. 1, pages 16-28, XP000732622. (ISR)						
	AU	Rioux, M., "Color 3-D Electronic Imaging of the Surface of the Human Body", <u>Proceedings of the SPIE</u> , Spie, July 28, 1994, Bellingham, US, Volume 2277, pages 42-54, XP000563365. (ISR)						

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.